

Form PTO-1449 US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
TCO-10-1367RSERIAL NO
09/764,574APPLICANT
Vincent P. AnnunziataLIST OF PUBLICATIONS CITED BY APPLICANT
(Use several sheets if necessary)FILING DATE
January 18, 2001GROUP
3693

US PATENT DOCUMENTS

EXAMINER INITIAL*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	AL						
	AM						
	AN						
	AO						
	AP						

OTHER PUBLICATIONS (Including Author, Title, Date, Portion Pages, Etc.)

---	AR	Lux, Ital, "Amex Specialists Send Pledge in Unusual Pitch to Brokers," "The Investment Dealers' Digest," IB, Proquest, November 1995.
	AS	
	AT	

EXAMINER

Richard Weisberger

DATE CONSIDERED

04/07/2013

*EXAMINER: Initial if publication considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

04/07/2013